

FARM-COVER	
FIELD NAME	RESPONSE
Operation size:	<input type="checkbox"/> Hectares
	<input type="checkbox"/> Acres
Season:	Month From: _____ Month to: _____
	<input type="checkbox"/> Year around season
Country of destination for product:	_____
Agronomic inputs:	<input type="checkbox"/> Sewage Sludge (biosolids)
	<input type="checkbox"/> Animal Based Compost
	<input type="checkbox"/> Untreated Animal Manure
	<input type="checkbox"/> Non-Synthetic Treatments
	<input type="checkbox"/> Soil or Substrate amendments
	<input type="checkbox"/> Inorganic Fertilizer
	<input type="checkbox"/> N/A
Water use:	<input type="checkbox"/> Dryland Farming
	<input type="checkbox"/> Municipal/District
	<input type="checkbox"/> Well
	<input type="checkbox"/> Non-Flowing Surface Water
	<input type="checkbox"/> Open Flowing Surface Water
	<input type="checkbox"/> Reclaimed Water
	<input type="checkbox"/> Tail Water
	<input type="checkbox"/> Other: _____
What is this water source used for?	<input type="checkbox"/> Irrigation
	<input type="checkbox"/> Crop Protection Spray
	<input type="checkbox"/> Fertigation
	<input type="checkbox"/> Frost/Freeze Protection
	<input type="checkbox"/> Cooling
	<input type="checkbox"/> Dust Abatement
	<input type="checkbox"/> Other : _____
What type of irrigation is being used?	<input type="checkbox"/> Drip
	<input type="checkbox"/> Flood Irrigation
	<input type="checkbox"/> Furrow Irrigation
	<input type="checkbox"/> Hydroponic,
	<input type="checkbox"/> Micro-Irrigation
	<input type="checkbox"/> Overhead
	<input type="checkbox"/> Seepage Irrigation
	<input type="checkbox"/> Other : _____
Does the water come in contact with the edible portion of the crop?	<input type="checkbox"/> Yes
	<input type="checkbox"/> No
Which product grouping is this water source used for?	_____
	<input type="checkbox"/> Not present

What type of toilet(s) was present at the operation?	<input type="checkbox"/> Portable Toilet
	<input type="checkbox"/> Permanent Toilet
	<input type="checkbox"/> Other: _____
Total number of workers for the operation:	
Was work being performed?	<input type="checkbox"/> Yes
	<input type="checkbox"/> No
What work was being performed:	<input type="checkbox"/> Irrigation
	<input type="checkbox"/> Planting
	<input type="checkbox"/> Pesticide Application
	<input type="checkbox"/> Weeding
	<input type="checkbox"/> Other: _____
Describe the adjacent land:	
Cultural methods used:	<input type="checkbox"/> Organic
	<input type="checkbox"/> Conventional
	<input type="checkbox"/> Transition
	<input type="checkbox"/> Hydroponics
	<input type="checkbox"/> Other: _____

PrimusGFS - Checklist - v3.2

This Module should be completed for each one of the farm operations in the scope of the organization's application.

Module 2 - Farm (Sections 2.01 to 2.10) **Good Agricultural Practices Requirements**

Section	Q #	Question	Total Points	Auditor Comments
General	2.01.01	Is there a designated person responsible for the operation's food safety program?	10	
General	2.01.02	If the operation is growing under organic principles, is there written documentation of current certification by an accredited organic certification organization?	0	
General	2.01.03	Does the operation have a written food safety hygiene and health policy covering at least worker and visitor hygiene and health, infants and toddlers, animal presence in growing and storage areas, fecal matter, dropped product, blood and bodily fluids?	15	
Site	2.02.01	Is there a map that accurately shows all aspects of the operation, including water sources and fixtures used to deliver water used in the operation?	5	
Site	2.02.02	Are growing areas adequately identified or coded to enable trace back and trace forward in the event of a recall?	15	
Site	2.02.03	Has a documented risk assessment been conducted at least annually for the operation?	15	
Site	2.02.03a	If any risk is identified, have corrective actions and/or preventative measures been documented and implemented?	15	
Site	2.02.04	Are the necessary food defense controls implemented in the	10	
Site	2.02.05	Is the exterior area immediately outside the growing area, including roads, yards and parking areas, free of litter, weeds and standing water?	5	
Site	2.02.06	Are control measures being implemented for the outside storage of equipment, pallets, tires, etc. (i.e. out of the mud, stacked to prevent pest harborage, away from the growing area)?	5	
Site	2.02.07	Are garbage receptacles and dumpsters kept covered or closed?	5	

Section	Q #	Question	Total Points	Auditor Comments
Site	2.02.08	Where soil, substrates or fertilizer (e.g., compost) are stored or handled, are measures in place to ensure seepage and runoff is collected or diverted and does not reach growing areas, product, or any of the water sources? A ZERO POINT DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Site	2.02.09	Where there are fill stations for fuel or pesticides, is it evident that the location and/or use is not a risk of contamination to the product, water sources, growing areas, equipment, packaging materials, etc.?	15	
Site	2.02.10	Is the audited area free from animal presence and/or animal activity (wild or domestic)? If Total Compliance , go to 2.02.11.	15	
Site	2.02.10a	Is the audited area free from any evidence of animal fecal matter? A ZERO POINT (NON-COMPLIANCE) DOWNSCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Site	2.02.11	Is the audited area free from any evidence of human fecal matter? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Site	2.02.12	Is the audited area free of evidence of infants and toddlers?	10	
Ground History	2.03.01	Were growing area(s) used for growing food crops last season?	0	
Ground History	2.03.02	Has the growing area(s) been used for any non-agricultural functions? If No, go to 2.03.03.	0	
Ground History	2.03.02a	If the growing area has been used previously for non-agricultural functions, have soil tests been conducted showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	15	
Ground History	2.03.03	Has the growing area(s) been used for animal husbandry or grazing land for animals in the last 12 months ? If No, go to 2.03.04.	0	

Section	Q #	Question	Total Points	Auditor Comments
Ground History	2.03.03a	If the land was used previously for animal husbandry or grazing land for livestock, has a risk assessment been performed?	10	
Ground History	2.03.04	Has flooding from uncontrolled causes occurred on the growing area(s) since the previous growth cycle ? If No, go to 2.03.05.	0	
Ground History	2.03.04a	If the growing area(s) and product was affected from the flood waters, is there documented evidence of a risk assessment and that corrective measures were taken to affected land and product?	15	
Ground History	2.03.04b	Have soil tests been conducted on the flooded area(s) showing the soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	15	
Ground History	2.03.04c	If septic or sewage systems adjacent to the growing area were affected by the flood waters, is there a documented inspection after flooding to ensure they are functioning properly and are not a source of contamination?	10	
Adjacent Land Use	2.04.01	Is the adjacent land to the growing area a possible source of contamination from intensive livestock production (e.g., feedlots, dairy operations, poultry houses, meat rendering operation)? If No, go to 2.04.02.	0	
Adjacent Land Use	2.04.01a	Where there is intensive livestock production on the adjacent land, have appropriate measures been taken to mitigate this possible contamination source onto the growing area (e.g., buffer areas, physical barriers, foundation, fences, ditches, etc.)?	15	
Adjacent Land Use	2.04.02	Is there evidence of domestic animals and/or wild animals (includes homes with hobby farms, and non-commercial livestock) in proximity to the growing operation? If No, go to 2.04.03.	0	

Section	Q #	Question	Total Points	Auditor Comments
Adjacent Land Use	2.04.02a	Where there are domestic and/or wild animals (includes homes with hobby farms, and non-commercial livestock) have physical measures been put in place to restrain the animals and their waste from entering the growing area (e.g., vegetative strips, windbreaks, physical barriers, berms, fences, diversion ditches)?	15	
Adjacent Land Use	2.04.03	Are untreated animal manure piles, compost, biosolids, or non-synthetic amendment stored and/or applied on adjacent land? If No, go to 2.04.04.	0	
Adjacent Land Use	2.04.03a	Where present, have physical measures been taken to secure untreated animal manure piles, compost, biosolids, or non-synthetic amendment stored and/or applied on adjacent land?	15	
Adjacent Land Use	2.04.03b	If biosolids are stored and/or applied on adjacent land, has the adjacent landowner supplied paperwork confirming the biosolids meet prevailing guidelines, governmental, or local standards?	10	
Adjacent Land Use	2.04.04	Is the growing area situated in a higher risk location where contamination could occur from nearby operations or functions (e.g., leach fields, runoff or potential flooding from sewers, toilet systems, industrial facilities, labor camps, etc.)? If No, go to 2.04.05.	0	
Adjacent Land Use	2.04.04a	Where the growing area is situated in a higher risk location, have appropriate measures been taken to mitigate risks related to nearby operations?	15	
Adjacent Land Use	2.04.05	Are there any other potential risks in the adjacent land that could	0	
Adjacent Land Use	2.04.05a	Have appropriate measures been taken to mitigate risks related to nearby operations?	15	
Adjacent Land Use	2.04.06	Is there evidence of human fecal matter in the adjacent land to the audited area? If No, go to 2.05.01.	0	
Adjacent Land Use	2.04.06a	Where there is evidence of human fecal matter in the adjacent land, are there adequate controls in place to mitigate risk (e.g., access controls (barriers), distance from the growing area and equipment, crop type and maturity, land condition, etc.)?	15	

Section	Q #	Question	Total Points	Auditor Comments
Inspection	2.05.01	Is there documented evidence of the internal audits performed, detailing findings and corrective actions?	15	
Inspection	2.05.02	Are there chemical inventory logs for chemicals, including pesticides and fertilizers?	3	
Inspection	2.05.03	Are all chemicals (pesticides, sanitizers, detergents, lubricants, etc.) stored securely, safely and are they labeled correctly?	15	
Inspection	2.05.04	Are the crop, ingredients (including water), food contact packaging and food contact surfaces within accepted tolerances for spoilage and free from adulteration? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Training	2.06.01	Is there a food safety hygiene training program covering new and existing workers and are there records of these training events?	15	
Training	2.06.02	Are there written and communicated procedures in place that require food handlers to report any cuts or grazes and/or if they are suffering from any illnesses that might be a contamination risk to the products being produced, and return to work requirements? (In countries with health privacy/confidentiality laws, e.g. USA, auditors can check procedure/policy but not actual records).	10	
Training	2.06.03	Are there worker food safety non-conformance records and associated corrective actions (including retraining records)?	3	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01	Are toilet facilities adequate in number and location? A ZERO POINT (NON-COMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01a	Are toilet facilities in a suitable location to prevent contamination to product, packaging, equipment, and growing areas?	15	

Section	Q #	Question	Total Points	Auditor Comments
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01b	Are toilet facilities designed and maintained to prevent contamination (e.g., free from leaks and cracks)?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01c	Are toilet facilities constructed of materials that are easy to clean?	3	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01d	Are the toilet facility materials constructed of a light color allowing easy evaluation of cleaning performance?	3	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01e	Are toilet facilities supplied with toilet paper and is the toilet paper maintained properly (e.g., toilet paper rolls are not stored on the floor or in the urinals)?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01f	Where used, is there a documented procedure for emptying the waste holding tanks in a hygienic manner and also in a way that prevents product, packaging, equipment, water systems and growing area contamination?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.01g	Are the toilet facilities and hand washing stations clean and are there records showing cleaning, servicing and stocking is occurring regularly?	10	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.02	Is hand washing signage posted appropriately?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.03	Are hand washing stations adequate in number and appropriately located for worker access and monitoring usage? A ZERO POINT (NON-COMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.03a	Are the hand wash stations designed and maintained properly (e.g., ability to capture or control rinse water to prevent contamination onto product, packaging, and growing area, free of clogged drains, etc.)?	5	

Section	Q #	Question	Total Points	Auditor Comments
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.03b	Are hand wash stations clearly visible (e.g., situated outside the toilet facility) and easily accessible to workers?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.03c	Are hand wash stations adequately stocked with unscented soap and paper towels?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.04 (new question)	Are total coliforms (TC) and generic <i>E. coli</i> tests conducted on the water used for hand washing at the required and/or expected frequency?	15	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.04a (new question)	Do written procedures (SOPs) exist covering proper sampling protocols, which include where samples should be taken and how samples should be identified?	10	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.04b (new question)	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.04c (new question)	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.05	Are workers washing and sanitizing their hands before starting work each day, after using the restroom, after breaks, before putting on gloves and whenever hands may be contaminated?	15	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.06	Are workers who are working directly or indirectly with food, free from signs of boils, sores, open wounds and are not exhibiting signs of foodborne illness?	10	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.07	Is jewelry confined to a plain wedding band and watches, studs, false eyelashes, etc., are not worn?	5	
Field Worker Hygiene (Applies to on-the-farm workers, not the harvesting workers)	2.07.08	Are worker personal items being stored appropriately (i.e. not in the growing area(s) or material storage area(s))?	5	

Section	Q #	Question	Total Points	Auditor Comments
Field Worker Hygiene <i>(Applies to on-the-farm workers, not the harvesting workers)</i>	2.07.09	Is smoking, eating, chewing and drinking confined to designated areas, and spitting is prohibited in all areas?	5	
Field Worker Hygiene <i>(Applies to on-the-farm workers, not the harvesting workers)</i>	2.07.10	Is fresh potable drinking water readily accessible to workers?	10	
Field Worker Hygiene <i>(Applies to on-the-farm workers, not the harvesting workers)</i>	2.07.10a	Are single use cups provided (unless a drinking fountain is used) and made available near the drinking water?	5	
Field Worker Hygiene <i>(Applies to on-the-farm workers, not the harvesting workers)</i>	2.07.11	Are first aid kits adequately stocked and readily available?	5	
Field Worker Hygiene <i>(Applies to on-the-farm workers, not the harvesting workers)</i>	2.07.12	Are there adequate trash cans placed in suitable locations?	5	
Field Worker Hygiene <i>(Applies to on-the-farm workers, not the harvesting workers)</i>	2.07.13	Are any potential foreign material issues (e.g., metal, glass, plastic) controlled?	5	
Agronomic Inputs	2.08.01	Is human sewage sludge (biosolids) used as an input? Information gathering question.	0	
Agronomic Inputs	2.08.01a	Is fertilizer being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Agronomic Inputs	2.08.01b	Are there fertilizer use records available for each growing area, including application records?	15	
Agronomic Inputs	2.08.01c	Are there Certificate(s) of Analysis (CoA), specifications, product label or other documents available for review provided by the supplier stating the components of the material?	10	

Section	Q #	Question	Total Points	Auditor Comments
Agronomic Inputs	2.08.01d	Are there Certificate(s) of Analysis (CoA) from the supplier(s) that cover pathogen testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding supplier SOPs and logs?	15	
Agronomic Inputs	2.08.01e	Are there Certificate(s) of Analysis (CoA), letters of guarantee or other documents from the supplier(s) that cover heavy metal testing?	10	
Agronomic Inputs	2.08.02	Is compost produced from animal derived materials used as an input? Information gathering question.	0	
Agronomic Inputs	2.08.02a	Is fertilizer being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Agronomic Inputs	2.08.02b	Are there fertilizer use records available for each growing area, including application records?	15	
Agronomic Inputs	2.08.02c	Are there Certificate(s) of Analysis (CoA), specifications, product label or other documents available for review provided by the supplier stating the components of the material?	10	
Agronomic Inputs	2.08.02d	Are there Certificate(s) of Analysis (CoA) from the supplier(s) that cover pathogen testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding supplier SOPs and logs?	15	
Agronomic Inputs	2.08.02e	Are there Certificate(s) of Analysis (CoA), letters of guarantee or other documents from the supplier(s) that cover heavy metal testing?	10	
Agronomic Inputs	2.08.03	Is untreated animal manure used as an input (e.g., raw manure &/or uncomposted, incompletely composted animal manure, green waste, non-thermally treated animal manure)? Information gathering question.	0	

Section	Q #	Question	Total Points	Auditor Comments
Agronomic Inputs	2.08.03a	Is fertilizer being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Agronomic Inputs	2.08.03b	Are there fertilizer use records available for each growing area, including application records?	15	
Agronomic Inputs	2.08.03c	Are there Certificate(s) of Analysis (CoA), specifications, product label or other documents available for review provided by the supplier stating the components of the material?	10	
Agronomic Inputs	2.08.03d	Are there Certificate(s) of Analysis (CoA), letters of guarantee or other documents from the supplier(s) that cover heavy metal testing?	10	
Agronomic Inputs	2.08.04	Are other non-synthetic crop treatments used as an input (e.g., compost teas, fish emulsions, fish meal, blood meal, bio-fertilizers, etc.)? Information gathering question.	0	
Agronomic Inputs	2.08.04a	Is fertilizer being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Agronomic Inputs	2.08.04b	Are there fertilizer use records available for each growing area, including application records?	15	
Agronomic Inputs	2.08.04c	Are there Certificate(s) of Analysis (CoA), specifications, product label or other documents available for review provided by the supplier stating the components of the material?	10	
Agronomic Inputs	2.08.04d	Are there Certificate(s) of Analysis (CoA) from the supplier(s) that cover pathogen testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding supplier SOPs and logs?	15	

Section	Q #	Question	Total Points	Auditor Comments
Agronomic Inputs	2.08.04e	Are there Certificate(s) of Analysis (CoA), letters of guarantee or other documents from the supplier(s) that cover heavy metal testing?	10	
Agronomic Inputs	2.08.05	Are soil or substrate amendments used as an input (e.g., plant by-products, humates, seaweed, inoculants, and conditioner, etc.)? Information gathering question.	0	
Agronomic Inputs	2.08.05a	Is fertilizer being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Agronomic Inputs	2.08.05b	Are there fertilizer use records available for each growing area, including application records?	15	
Agronomic Inputs	2.08.05c	Are there Certificate(s) of Analysis (CoA), specifications, product label or other documents available for review provided by the supplier stating the components of the material?	10	
Agronomic Inputs	2.08.05d	Are there Certificate(s) of Analysis (CoA) and/or letters of guarantee stating that the materials used are free from animal products and/or animal manures?	15	
Agronomic Inputs	2.08.06	Are inorganic fertilizers used as an input (e.g., ammonium nitrate, ammonium sulfate, chemically synthesized urea, etc.)? Information gathering question.	0	
Agronomic Inputs	2.08.06a	Is fertilizer being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Agronomic Inputs	2.08.06b	Are there fertilizer use records available for each growing area, including application records?	15	

Section	Q #	Question	Total Points	Auditor Comments
Agronomic Inputs	2.08.06c	Are there Certificate(s) of Analysis (CoA), specifications, product label or other documents available for review provided by the supplier stating the components of the material?	10	
Irrigation / Water Use	2.09.01	Is municipal/district water used in the growing operation?	0	
Irrigation / Water Use	2.09.01	What is this water source used for (e.g., irrigation, crop protection sprays, fertigation, frost/freeze protection, cooling, dust abatement, etc.)?	0	
Irrigation / Water Use	2.09.01	What type of irrigation methods are used (e.g., micro-irrigation, drip, overhead, flood irrigation, furrow irrigation, seepage irrigation, hydroponic (specify type))?	0	
Irrigation / Water Use	2.09.01	Does the water come in contact with the edible portion of the crop?	0	
Irrigation / Water Use	2.09.01a	Are generic <i>E. coli</i> tests conducted on the water (taken from the closest practical point of use) at the required and/or expected frequency? A ZERO POINT (NONCOMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Irrigation / Water Use	2.09.01b	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	10	
Irrigation / Water Use	2.09.01c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Irrigation / Water Use	2.09.01d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Irrigation / Water Use	2.09.01e	Where anti-microbial water treatments (e.g. chlorination, U.V., ozone, etc.) are used, are there records of the monitoring frequencies, results and where necessary the corrective actions?	15	
Irrigation / Water Use	2.09.01f	Are records kept for periodic visual inspection of the water source and available for review?	5	
Irrigation / Water Use	2.09.02	Is well water used in the operation?	0	

Section	Q #	Question	Total Points	Auditor Comments
Irrigation / Water Use	2.09.02	What is this water source used for (e.g., irrigation, crop protection sprays, fertigation, frost/freeze protection, cooling, dust abatement, etc.)?	0	
Irrigation / Water Use	2.09.02	What type of irrigation methods are used (e.g., micro-irrigation, drip, overhead, flood irrigation, furrow irrigation, seepage irrigation, hydroponic (specify type))?	0	
Irrigation / Water Use	2.09.02	Does the water come in contact with the edible portion of the crop?	0	
Irrigation / Water Use	2.09.02a	Are generic <i>E. coli</i> tests conducted on the water (taken from the closest practical point of use) at the required and/or expected frequency? A ZERO POINT (NONCOMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Irrigation / Water Use	2.09.02b	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	10	
Irrigation / Water Use	2.09.02c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Irrigation / Water Use	2.09.02d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Irrigation / Water Use	2.09.02e	Where anti-microbial water treatments (e.g. chlorination, U.V., ozone, etc.) are used, are there records of the monitoring frequencies, results and where necessary the corrective actions?	15	
Irrigation / Water Use	2.09.02f	Are records kept for periodic visual inspection of the water source and available for review?	5	
Irrigation / Water Use	2.09.03	Is non-flowing surface water (e.g., pond, reservoir, watershed) used in the operation?	0	
Irrigation / Water Use	2.09.03	What is this water source used for (e.g., irrigation, crop protection sprays, fertigation, frost/freeze protection, cooling, dust abatement, etc.)?	0	

Section	Q #	Question	Total Points	Auditor Comments
Irrigation / Water Use	2.09.03	What type of irrigation methods are used (e.g., micro-irrigation, drip, overhead, flood irrigation, furrow irrigation, seepage irrigation, hydroponic (specify type))?	0	
Irrigation / Water Use	2.09.03	Does the water come in contact with the edible portion of the crop?	0	
Irrigation / Water Use	2.09.03a	Are generic <i>E. coli</i> tests conducted on the water (taken from the closest practical point of use) at the required and/or expected frequency? A ZERO POINT (NONCOMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Irrigation / Water Use	2.09.03b	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	10	
Irrigation / Water Use	2.09.03c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Irrigation / Water Use	2.09.03d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Irrigation / Water Use	2.09.03e	Where anti-microbial water treatments (e.g. chlorination, U.V., ozone, etc.) are used, are there records of the monitoring frequencies, results and where necessary the corrective actions?	15	
Irrigation / Water Use	2.09.03f	Are records kept for periodic visual inspection of the water source and available for review?	5	
Irrigation / Water Use	2.09.04	Is open flowing surface water used in the operation? (e.g., river, canal, ditch)	0	
Irrigation / Water Use	2.09.04	What is this water source used for (e.g., irrigation, crop protection sprays, fertigation, frost/freeze protection, cooling, dust abatement, etc.)?	0	
Irrigation / Water Use	2.09.04	What type of irrigation methods are used (e.g., micro-irrigation, drip, overhead, flood irrigation, furrow irrigation, seepage irrigation, hydroponic (specify type))?	0	
Irrigation / Water Use	2.09.04	Does the water come in contact with the edible portion of the crop?	0	

Section	Q #	Question	Total Points	Auditor Comments
Irrigation / Water Use	2.09.04a	Are generic <i>E. coli</i> tests conducted on the water (taken from the closest practical point of use) at the required and/or expected frequency? A ZERO POINT (NONCOMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Irrigation / Water Use	2.09.04b	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	10	
Irrigation / Water Use	2.09.04c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Irrigation / Water Use	2.09.04d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Irrigation / Water Use	2.09.04e	Where anti-microbial water treatments (e.g. chlorination, U.V., ozone, etc.) are used, are there records of the monitoring frequencies, results and where necessary the corrective actions?	15	
Irrigation / Water Use	2.09.04f	Are records kept for periodic visual inspection of the water source and available for review?	5	
Irrigation / Water Use	2.09.05	Is reclaimed water used in the operation? Note, this refers to wastewater that has gone through a treatment process.	0	
Irrigation / Water Use	2.09.05	What is this water source used for (e.g., irrigation, crop protection sprays, fertigation, frost/freeze protection, cooling, dust abatement, etc.)?	0	
Irrigation / Water Use	2.09.05	What type of irrigation methods are used (e.g., micro-irrigation, drip, overhead, flood irrigation, furrow irrigation, seepage irrigation, hydroponic (specify type))?	0	
Irrigation / Water Use	2.09.05	Does the water come in contact with the edible portion of the crop?	0	

Section	Q #	Question	Total Points	Auditor Comments
Irrigation / Water Use	2.09.05a	Are generic <i>E. coli</i> tests conducted on the water (taken from the closest practical point of use) at the required and/or expected frequency? A ZERO POINT (NONCOMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Irrigation / Water Use	2.09.05b	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	10	
Irrigation / Water Use	2.09.05c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Irrigation / Water Use	2.09.05d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Irrigation / Water Use	2.09.05e	Where anti-microbial water treatments (e.g. chlorination, U.V., ozone, etc.) are used, are there records of the monitoring frequencies, results and where necessary the corrective actions?	15	
Irrigation / Water Use	2.09.05f	Are records kept for periodic visual inspection of the water source and available for review?	5	
Irrigation / Water Use	2.09.06	Is tail water (run-off water including hydroponics) used in the operation?	0	
Irrigation / Water Use	2.09.06	What is this water source used for (e.g., irrigation, crop protection sprays, fertigation, frost/freeze protection, cooling, dust abatement, etc.)?	0	
Irrigation / Water Use	2.09.06	What type of irrigation methods are used (e.g., micro-irrigation, drip, overhead, flood irrigation, furrow irrigation, seepage irrigation, hydroponic (specify type))?	0	
Irrigation / Water Use	2.09.06	Does the water come in contact with the edible portion of the crop?	0	
Irrigation / Water Use	2.09.06a	Are generic <i>E. coli</i> tests conducted on the water (taken from the closest practical point of use) at the required and/or expected frequency? A ZERO POINT (NONCOMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	

Section	Q #	Question	Total Points	Auditor Comments
Irrigation / Water Use	2.09.06b	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	10	
Irrigation / Water Use	2.09.06c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	10	
Irrigation / Water Use	2.09.06d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	15	
Irrigation / Water Use	2.09.06e	Where anti-microbial water treatments (e.g. chlorination, U.V., ozone, etc.) are used, are there records of the monitoring frequencies, results and where necessary the corrective actions?	15	
Irrigation / Water Use	2.09.06f	Are records kept for periodic visual inspection of the water source and available for review?	5	
Irrigation / Water Use	2.09.07	Is dryland farming used in the growing operation	0	
Irrigation / Water Use	2.09.08	Are there backflow prevention devices on all main lines, including where chemical, fertilizer and pesticide applications are made?	10	
Irrigation / Water Use	2.09.09	If the operation stores water (tank, cistern, container), is the storage container well maintained?	15	
Pesticide Usage	2.10.01	Are there up-to-date records of all pesticides applied during the growth cycle? A ZERO POINT (NON-COMPLIANCE) DOWN SCORE IN THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THIS AUDIT.	15	
Pesticide Usage	2.10.02	Are all pesticides applied during the growth cycle authorized/registered by the authority/government of the country of production? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Pesticide Usage	2.10.03	Are all pesticides used during the growth cycle applied as recommended/directed in the label? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	

Section	Q #	Question	Total Points	Auditor Comments
Pesticide Usage	2.10.04	Where harvesting is restricted by pre-harvest intervals, are required pre-harvest intervals on product labels, national (e.g., EPA) registration and any federal, state or local regulations and guidelines being adhered to? ANY DOWN SCORE IN THIS QUESTION RESULTS IN AN AUTOMATIC FAILURE OF THE AUDIT.	15	
Pesticide Usage	2.10.05	Where products are destined for export, is there information for pesticide Maximum Residue Limits (MRLs) compliance considering country of destination, target crop(s), and active ingredients applied?	15	
Pesticide Usage	2.10.06	Where products are destined for export, is there evidence that Maximum Residue Limits (MRLs) of the intended markets are met?	15	
Pesticide Usage	2.10.07	Is there a documented procedure for the pesticide applications, considering mixing and loading, applying, and equipment cleaning?	15	
Pesticide Usage	2.10.08	Is there documentation that shows the individual(s) making decisions for pesticide applications is competent?	15	
Pesticide Usage	2.10.09	Is there documentation that shows that individuals who handle pesticide materials are trained and are under the supervision of a trained person?	15	

where laws, commodity specific guidelines and/or best practice recommendations exist and are derived from a reputable source then these practices and parameters should be used. Audit users should allow a degree of risk association if

Document Revision History		
Date	Rev.#	Description
1/19/21	0	Initial
8/30/21	1	Changes to questions 2.02.03a, 2.02.04, 2.08.01 & 2.09.05
12/30/21	2	No changes to module 2